

ADV Part 2B - Brochure Supplement Cadaret, Grant & Co., Inc.

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JAMES MANGAM

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This brochure supplement provides information about JAMES MANGAM that supplements the Cadaret, Grant & Co., Inc. brochure (Form ADV Part 2). Please contact Cadaret, Grant's Advisory Services Department at 800.288.8601 if you did not receive Cadaret, Grant & Co., Inc.'s brochure or if you have any questions about the contents of this supplement. Additional information about JAMES MANGAM is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

JAMES MANGAM was born in 1946

Education

Institution	Dates (year-year)	Degree/Certificate	Degree/Certificate Type (such as: BA BS)	Major/Concentration
Western Carolina University	1970	Degree	BS	Business Administration

Business Experience

From	To	Name	Investment Related Business	City	State	Position
08/01/1982	present	CADARET, GRANT & CO., INC.	Yes	Oradell	NJ	OTHER - REGISTERED REP.
01/01/1979	present	JAMES F MANGAM JR. (MANGAM AGENCY)	No	HO-HO-KUS	NJ	AGENT - AGENT

Designations:

CFP - Certified Financial Planner	
Designation	Certified Financial Planner
Designation Status	Currently offered and recognized by the issuing organization
Acronym	CFP
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Prerequisites/Experience Required	Candidate must meet the following requirements: •A bachelor's degree (or higher) from an accredited college or university, and •Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Educational Requirements	Candidate must complete a CFP-board registered program, or hold one of the following: •CPA •ChFC •Chartered Life Underwriter (CLU) •CFA •Ph.D. in business or economics •Doctor of Business Administration •Attorney's License
Examination Type	Final certification examination
Continuing Education/Experience Requirements	30 hours every two years

Industry Exams:

Category	Date
Securities Industry Essentials – (SIE)	10/01/2018
Uniform Securities Agent State Law Examination - (Series 63) (NASAA)	11/13/1987
Registered Representative - (Series 1)	03/29/1973

Item 3 - Disciplinary Information

None

Item 4 - Other Business Activities

In addition to being an Investment Advisor Representative of Cadaret, Grant & Co., Inc., in its capacity as an SEC Registered Investment Advisor, JAMES MANGAM is also a Registered Representative of Cadaret, Grant & Co., Inc., in its capacity as a FINRA member Broker-Dealer. Registered Representatives may receive commission compensation based on the sale of securities and other investment products and may also receive service ("trail") fees from the sale of mutual funds. Clients may choose to implement investment recommendations through Registered Representatives on a commission basis. When Registered Representatives recommend investments on a commission basis, this presents the potential for a conflict of interest because the receipt of commissions may create an incentive to recommend investment products based on commissions received, rather than on the client's needs. Clients are under no obligation to implement investment recommendations through any persons registered with Cadaret, Grant & Co., Inc. in any capacity and may implement investment recommendations through any Broker-Dealer or Investment Advisor of their choosing. Please refer to Cadaret, Grant's Form ADV Part 2A (Firm Brochure) for additional information on how potential conflicts of interest are addressed.

Nature of Business
INSURANCE SALES, AGENT, START 1972, 40% OF TIME DEVOTED TO ACTIVITY, SELL LIFE, HEALTH & DISABILITY INSURANCE PRODUCTS.

Item 5 - Additional Compensation

None

Item 6 - Supervision

Cadaret, Grant & Co., Inc. is responsible for oversight of supervised persons and monitoring the advice they provide to clients. Cadaret, Grant's Compliance Department performs supervision and monitoring of JAMES MANGAM through various methods, some of which include exception reporting and on site examinations. Clients who need to contact Cadaret, Grant regarding JAMES MANGAM can reach the Compliance Department at 800.288.8601.